

Exercises on PSPACE and IP

CSCI 6114 Fall 2021

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November 11, 2021

A language L is in PSPACE if there is a deterministic Turing machine solving L that uses $\text{poly}(|x|)$ space.

1. Show that $\text{PSPACE} \subseteq \text{EXPTIME} = \text{DTIME}(2^{\text{poly}(n)})$. *Hint:* Use the fact that the PSPACE machine must always halt. How many possible configurations does it have?
2. Show that $\text{PSPACE}^{\text{PSPACE}} = \text{PSPACE}$. (Here note that we count the oracle tape in the space usage, so the oracle queries can only be polynomially long.)
3. (a) Show that $\text{NP} \subseteq \text{PSPACE}$.
(b) Show that $\text{BPP} \subseteq \text{PSPACE}$.
(c) Show that $\text{PH} \subseteq \text{PSPACE}$. Note that this implies that $\text{AM} \subseteq \text{PSPACE}$.
(d) Show that $\text{IP} \subseteq \text{PSPACE}$.
4. Show that $\text{IP}[2] = \text{AM}$.
5. Given a Boolean formula φ in CNF form, our goal is to translate it into a polynomial f over the integers \mathbb{Z} or the integer modulo a prime $\mathbb{Z}/p\mathbb{Z}$ such that

$$(\forall \vec{x} \in \{0, 1\}^n) \quad \varphi(\vec{x}) = f(x), \tag{1}$$

where we think of 0 as false and 1 as true. We will build such an f inductively. First, a Boolean variable x_i turns into an algebraic variable x_i .

- (a) Suppose we have a polynomial f corresponding to a formula φ as above. What polynomial should correspond to the negation $\neg\varphi$? Show your construction satisfies (1) for $\neg\varphi$.
- (b) Suppose we have polynomials f, g corresponding to formulae φ, ψ . What polynomial should correspond to the conjunction $\varphi \wedge \psi$? Show your construction satisfies (1) for $\varphi \wedge \psi$.
- (c) Suppose we have polynomials f, g corresponding to formulae φ, ψ . What polynomial should correspond to the disjunction $\varphi \vee \psi$? Show your construction satisfies (1) for $\varphi \vee \psi$.
- (d) Why does PIT not let us solve UNSAT (thus putting NP into RP)? That is, it seems like we can use the above construction to build f , and then just test whether f is the identically zero. Where does this go wrong?

6. In this exercise our goal is to show that $\text{coNP} \subseteq \text{IP}$ (in fact we'll show that $\text{P}^{\#\text{P}} \subseteq \text{IP}$, which by Toda's Theorem already covers all of PH). We'll use the coNP-complete problem k-UNSAT: given a k-CNF, decide whether it is unsatisfiable. Using the construction in the previous exercise, let f_φ denote the polynomial (over the integers) associated to φ .

- (a) Show that the number of satisfying assignments to φ is

$$n_\varphi = \sum_{\vec{x} \in \{0,1\}^n} f_\varphi(\vec{x}).$$

- (b) Suppose the prover claims that N is the number of satisfying assignments. The prover can send to the verifier the number N , as well as a partially evaluated version of the above function, namely,

$$P_1(x_1) := \sum_{x_2, x_3, \dots, x_n \in \{0,1\}} f_\varphi(x_1, x_2, x_3, \dots, x_n).$$

This is a univariate polynomial—note that all variables are summed over except that x_1 is left free. What is its degree?

- (c) Verifier then check that $P_1(0) + P_1(1) = N$. Why is this the right thing to check?
 (d) Verifier then picks a random value r_1 to send to the prover. In the next round, the prover sends back

$$P_2(x_2) := \sum_{x_3, x_4, \dots, x_n \in \{0,1\}} f_\varphi(r_1, x_2, x_3, x_4, \dots, x_n).$$

Verifier will then check that $P_2(0) + P_2(1) = P_1(r_1)$. Why is this the right thing to check?

- (e) Verifier will then pick a random value r_2 to send to the prover. In the next round, the prover sends back

$$P_3(x_3) := \sum_{x_4, x_5, \dots, x_n \in \{0,1\}} f_\varphi(r_1, r_2, x_3, x_4, \dots, x_n).$$

And the process continues like this. If the prover gave the wrong value of n to begin with, what is the probability that the verifier accepts at the end of this procedure?

Resources

- Sipser §8.2 and 10.4.
- Moore & Mertens Sections 8.6 and 11.1–11.2
- *Gems of TCS* Chapter 21.
- Arora & Barak Chapters 4 and 8.
- Jonathan Katz's 2011 course, lectures 18–19 contain the proof that $\text{IP} = \text{PSPACE}$.